

Convention. All vector spaces are over $\mathbb{K} = \mathbb{R}$ or $\mathbb{K} = \mathbb{C}$.

Embeddings and quotients

Let X and Y be normed spaces. Recall that a linear operator $T: X \rightarrow Y$ is a *coisometry* if it takes the open unit ball of X onto the open unit ball of Y .

1.1. Let X be a normed space, and let $f: X \rightarrow \mathbb{K}$ be a linear functional.

(a) Show that f is open (unless $f = 0$).

(b) Show that f is a coisometry iff $\|f\| = 1$.

Warning: do not forget about the case where $\mathbb{K} = \mathbb{C}$.

1.2. Let X and Y be normed spaces, and let $T: X \rightarrow Y$ be a linear operator.

(a) Prove that if T maps the closed unit ball of X onto the closed unit ball of Y , then T is a coisometry.

(b) Is the converse true?

(c) Show that T is an injective coisometry iff T is an isometric isomorphism.

1.3. Let $\alpha \in \ell^\infty$, and let X denote either ℓ^p or c_0 . Let M_α be the *diagonal operator* on X defined by $M_\alpha(x) = (\alpha_i x_i)_{i \in \mathbb{N}}$. Find a condition on α that is necessary and sufficient for M_α to be

(a) topologically injective; (b) open; (c) an isometry; (d) a coisometry.

1.4. Let (Ω, μ) be a σ -finite measure space, and let f be a bounded measurable function on Ω . Answer questions (a) – (d) of the previous exercise for the *multiplication operator* M_f on $L^p(\Omega, \mu)$, $M_f(g) = fg$.

1.5. Let X be a normed space, and let $X_0 \subset X$ be a vector subspace. Prove that

(a) the quotient seminorm on X/X_0 is indeed a seminorm;

(b) the topology on X/X_0 determined by the quotient seminorm is the quotient topology (i.e., a subset $U \subset X/X_0$ is open iff $Q^{-1}(U)$ is open in X , where $Q: X \rightarrow X/X_0$ is the quotient map).

1.6. Construct (a) a topological isomorphism between c_0 and a quotient of $C[0, 1]$; (b) an isometric isomorphism between ℓ^1 and a quotient of $L^1[0, 1]$.

Duality for normed spaces

Let X, Y be normed spaces, and let $T: X \rightarrow Y$ be a bounded linear operator. Recall (see the lectures) that the *dual* of T is the operator $T^*: Y^* \rightarrow X^*$ given by $T^*f = f \circ T$ (where $f \in Y^*$).

In the following exercise you are supposed to describe explicitly the duals of some concrete operators. The phrase “describe explicitly” means the following. Given an operator $T: X \rightarrow X$, where X is a Banach space, find another “classical” Banach space Y together with an isometric isomorphism $u: Y \xrightarrow{\sim} X^*$ (hint: Y and u were discussed at the lectures in the previous term). Then find (define by an explicit formula) an operator $S: Y \rightarrow Y$ such that the following diagram commutes:

$$\begin{array}{ccc} Y & \xrightarrow{S} & Y \\ u \downarrow & & \downarrow u \\ X^* & \xrightarrow{T^*} & X^* \end{array}$$

1.7. Describe explicitly the duals of the following operators:

(a) the diagonal operator M_α on ℓ^p (where $1 \leq p < \infty$) or on c_0 (see Exercise 1.3);

(b) the *right shift* operator T_r and the *left shift* operator T_ℓ on ℓ^p ($1 \leq p < \infty$) or on c_0 given by

$$T_r(x_1, x_2, \dots) = (0, x_1, x_2, \dots);$$

$$T_\ell(x_1, x_2, \dots) = (x_2, x_3, \dots)$$

(c) the *Volterra integral operator* V on $L^p[0, 1]$ (where $1 \leq p < \infty$) given by

$$(Vf)(x) = \int_0^x f(t) dt \quad (x \in [0, 1]);$$

(d) the *Hilbert-Schmidt integral operator* T_K on $L^2(X, \mu)$ given by

$$(T_K f)(x) = \int_X K(x, y) f(y) d\mu(y) \quad (x \in X)$$

where (X, μ) is a measure space and $K \in L^2(X \times X, \mu \times \mu)$.

1.8. Let X be a normed space, and let $i_X: X \rightarrow X^{**}$ be the canonical embedding. Prove that for each operator $T \in \mathcal{B}(X, Y)$ the following diagram commutes.

$$\begin{array}{ccc} X^{**} & \xrightarrow{T^{**}} & Y^{**} \\ i_X \uparrow & & \uparrow i_Y \\ X & \xrightarrow{T} & Y \end{array}$$

1.9. Let X be a normed space, and let $i_X: X \rightarrow X^{**}$ be the canonical embedding.

(a) Find a relation between the operators $i_{X^*}: X^* \rightarrow X^{***}$ and $i_X^*: X^{***} \rightarrow X^*$.

(b) Prove that a Banach space X is reflexive $\iff X^*$ is reflexive.

(c) Deduce that ℓ^1 , ℓ^∞ , $L^\infty[a, b]$ are not reflexive.

1.10. Let X and Y be Banach spaces, and let $S \in \mathcal{B}(Y^*, X^*)$. Do we always have $S = T^*$ for some $T \in \mathcal{B}(X, Y)$?

Let X be a normed space. Recall (see the lectures) that the *annihilator* of a subset $M \subset X$ and the *preannihilator* of a subset $N \subset X^*$ are given by

$$M^\perp = \{f \in X^* : f(x) = 0 \forall x \in M\}, \quad {}^\perp N = \{x \in X : f(x) = 0 \forall f \in N\}.$$

The *double annihilator theorem* (see the lectures) asserts that for every $M \subset X$ we have ${}^\perp(M^\perp) = \overline{\text{span}}(M)$ (the closure of the linear span). The following two exercises show that the “dual” formula $({}^\perp N)^\perp = \overline{\text{span}}(N)$ fails in general (unless X is reflexive).

1.11. Identify $(\ell^1)^*$ with ℓ^∞ , and consider c_0 as a subspace of $(\ell^1)^*$. Find ${}^\perp c_0$ and $({}^\perp c_0)^\perp$.

1.12. Let X be a nonreflexive Banach space. Prove that there exists a closed vector subspace $N \subset X^*$ such that $N \neq ({}^\perp N)^\perp$.

Recall (see the lectures) that for every bounded linear operator $T: X \rightarrow Y$ between normed spaces we have $\overline{\text{Im} T} = {}^\perp(\text{Ker } T^*)$. In particular, $\text{Im } T$ is dense in Y iff T^* is injective. The following exercise shows that the “dual” statements fail in general (unless X is reflexive).

1.13. Give an example of an injective operator $T \in \mathcal{B}(X, Y)$ between Banach spaces X and Y such that $\text{Im } T^*$ is not dense in X^* . (*Hint:* X must be nonreflexive, see above.) As a corollary, the equality $\overline{\text{Im}(T^*)} = (\text{Ker } T)^\perp$ fails.

1.14. Let X be a Banach space, and let $X_0 \subset X$ be a closed vector subspace. Prove that X is reflexive if and only if X_0 and X/X_0 are reflexive. (*Hint:* Johnson’s lemma.)