# FUNCTIONAL ANALYSIS 2 Midterm exam 24.03.2023

### 1. Embeddings and quotients of normed spaces

- Topologically injective operators, isometries, topological and isometric isomorphisms. Topologically injective = bounded below.
- Open operators and coisometries. Characterizations of open operators.
- Quotients of normed spaces.
- The universal property of quotients. Corollaries (in particular, conditions under which  $X/\operatorname{Ker} T \cong \operatorname{Im} T$  topologically or isometrically).
- The completeness of quotients.

## 2. Duality for operators on Banach spaces

- Annihilators and preannihilators, their basic properties.
- The double annihilator theorem. The density criterion for a vector subspace of a normed space.
- The duals of subspaces and quotients.
- Relations between the kernels and images of operators and of their duals.
- A duality between injective operators and operators with dense image.
- A duality between topologically injective and surjective operators.
- A duality between isometries and coisometries.
- The Closed Image Theorem.
- Johnson's lemma on exact sequences of Banach spaces.

#### 3. Fredholm operators I: basic notions

- Fredholm operators. Fredholm index. Basic examples.
- The additivity of the index.
- Kato's lemma on the image of a Fredholm operator.
- The fredholmness and the index of the dual operator.

#### 4. The Riesz–Schauder theory

- Schauder's theorem on the dual of a compact operator.
- The ascent and the descent of a linear operator. Properties of linear operators with finite ascent and descent. The algebraic Riesz decomposition.
- Riesz's theorem on operators "1 +compact".
- The Fredholm alternative. Abstract Fredholm theorems in Schauder's form.

## 5. Spectral theory in Banach algebras

- The spectrum of an algebra element. Examples: the spectra of elements of  $\mathbb{C}^X$ ,  $\ell^{\infty}(X)$ ,  $L^{\infty}(X, \mu)$ .
- The behavior of the spectrum under homomorphisms. Spectrally invariant subalgebras. Examples.
- The polynomial spectral mapping theorem. The spectrum of the inverse element.
- Banach algebras. Examples.
- Properties of the group of invertibles in a Banach algebra. The automatic continuity of characters (i.e., of C-valued homomorphisms).
- Gelfand's theorem on the properties of the spectrum of a Banach algebra element.
- The Gelfand–Mazur theorem.
- The spectral radius of a Banach algebra element. Examples. The Beurling–Gelfand formula. Corollaries: a characterization of quasinilpotents, the spectral radius w.r.t. a closed subalgebra.

#### 6. Fredholm operators II: an interplay between Fredholm and compact operators

- Topological direct sums and complemented subspaces of normed spaces.
- Characterizations of complemented subspaces in terms of projections and in terms of quotients.
- Examples and counterexamples of complemented subspaces.
- The Nikolskii–Atkinson criterion for Fredholm operators.
- The Calkin algebra. The essential spectrum of a linear operator. The interpretation of the essential spectrum in terms of the Calkin algebra.
- The stability of the index under "small" perturbations.
- The stability of the index under compact perturbations.
- Nikolskii's characterization of Fredholm operators of index zero.
- Toeplitz operators on the Hardy space. The homomorphism  $C(\mathbb{T}) \to \mathcal{Q}(H^2)$ .
- The index formula for a Toeplitz operator with continuous symbol.