## Commutative Banach algebras and $C^*$ -algebras. Spectral theory of operators on a Hilbert space

Exercises marked by "-B" are optional. If you solve such exercises, you will earn bonus points.

- **4.1.** Recall that  $C^n[0,1]$  is a Banach algebra under the norm  $||f|| = \sum_{k=0}^n \frac{||f^{(k)}||_{\infty}}{k!}$  (where  $||\cdot||_{\infty}$  is the supremum norm). Describe the maximal spectrum and the Gelfand transform of  $C^n[0,1]$ .
- **4.2.** Let  $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$ . The disk algebra  $\mathscr{A}(\bar{\mathbb{D}})$  consists of those  $f \in C(\bar{\mathbb{D}})$  that are holomorphic on  $\mathbb{D}$ . Show that  $\mathscr{A}(\bar{\mathbb{D}})$  is a closed subalgebra of  $C(\bar{\mathbb{D}})$ . Describe the maximal spectrum and the Gelfand transform of  $\mathscr{A}(\bar{\mathbb{D}})$ .
- **4.3.** Let  $f,g \in \ell^1(\mathbb{Z})$ . The *convolution* of f and g is the function f \* g on  $\mathbb{Z}$  given by

$$(f * g)(n) = \sum_{k \in \mathbb{Z}} f(k)g(n-k) \qquad (n \in \mathbb{Z}).$$
(1)

- (a) Show that the series in (1) converges, that  $f * g \in \ell^1(\mathbb{Z})$ , and that  $\ell^1(\mathbb{Z})$  is a commutative unital Banach algebra under convolution.
- (b) Show that  $\ell^1(\mathbb{Z})$  contains the group algebra  $\mathbb{C}\mathbb{Z}$  as a dense subalgebra.
- (c) Describe the maximal spectrum and the Gelfand transform of  $\ell^1(\mathbb{Z})$ .

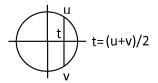
Hint to (c): each character  $\chi$  of  $\ell^1(\mathbb{Z})$  is uniquely determined by  $\chi(\delta_1) \in \mathbb{C}$ , where  $\delta_1$  is the element of  $\mathbb{CZ}$  corresponding to  $1 \in \mathbb{Z}$ . Show that, if  $\chi \neq 0$ , then  $\chi(\delta_1) \in \mathbb{T} = \{z \in \mathbb{C} : |z| = 1\}$ .

- **4.4-B.** Extend Exercise 4.3 to  $\ell^1(G)$ , where G is any (discrete) abelian group. (*Hint:* the maximal spectrum of  $\ell^1(G)$  is homeomorphic to the dual group  $\widehat{G}$  of G, which consists of all group homomorphisms from G to  $\mathbb{T}$ .)
- **4.5.** Show that
- (a)  $C^n[0,1]$  is a Banach \*-algebra under the involution  $f^*(t) = \overline{f(t)}$   $(t \in [0,1])$ , but is not a  $C^*$ -algebra unless n = 0;
- (b)  $\mathscr{A}(\bar{\mathbb{D}})$  is a Banach \*-algebra under the involution  $f^*(z) = \overline{f(\bar{z})}$   $(z \in \bar{\mathbb{D}})$ , but is not a  $C^*$ -algebra;
- (c)  $\ell^1(\mathbb{Z})$  is a Banach \*-algebra under the involution  $f^*(n) = \overline{f(-n)}$   $(n \in \mathbb{Z})$ , but is not a  $C^*$ -algebra.
- **4.6-B.** (a) Does there exist a norm and an involution on  $C^1[a,b]$  making it into a  $C^*$ -algebra?
- (b) Does there exist a norm and an involution on  $\mathscr{A}(\bar{\mathbb{D}})$  making it into a  $C^*$ -algebra?
- (c) Does there exist a norm and an involution on  $\ell^1(\mathbb{Z})$  making it into a  $C^*$ -algebra?

Remark. In (a,b,c), we do not assume that the new norm is equivalent to the original norm.

- **4.7.** Let  $\alpha \in \ell^{\infty}$ , and let  $M_{\alpha}$  denote the respective diagonal operator on  $\ell^2$ . Show that for each  $f \in C(\sigma(M_{\alpha}))$  we have  $f(M_{\alpha}) = M_{f \circ \alpha}$ .
- **4.8.** Let  $(X, \mu)$  be a  $\sigma$ -finite measure space, let  $\varphi \colon X \to \mathbb{C}$  be an essentially bounded measurable function, and let  $M_{\varphi}$  denote the respective multiplication operator on  $L^2(X, \mu)$ . Show that for each  $f \in C(\sigma(M_{\varphi}))$  we have  $f(M_{\varphi}) = M_{f \circ \varphi}$  (in particular, give a precise meaning to the expression  $f \circ \varphi$ ).
- **4.9.** Let A be a unital C\*-algebra, and let  $u \in A$  be a unitary element. Show that  $\sigma(u) \subset \mathbb{T}$  (where  $\mathbb{T} = \{z \in \mathbb{C} : |z| = 1\}$ ).
- **4.10.** Let A be a unital  $C^*$ -algebra.
- (a) Prove that for each selfadjoint element  $a \in A$  the element  $u = \exp(ia)$  is unitary.
- (b) Prove that if  $u \in A$  is a unitary element such that  $\sigma(u) \neq \mathbb{T}$ , then there exists a selfadjoint element  $a \in A$  such that  $u = \exp(ia)$ .
- (c) Does (b) hold if  $\sigma(u) = \mathbb{T}$ ?

**4.11.** Show that each element of a unital  $C^*$ -algebra is a linear combination of four unitaries. *Hint:* 



- **4.12.** Extend the results of **(a)** Exercise 4.7 and **(b)** Exercise 4.8 to the Borel functional calculus.
- **4.13.** Show that for each unitary operator U on a Hilbert space H there exists a bounded selfadjoint operator T on H such that  $U = \exp(iT)$  (compare with Exercise 4.10 (c)).

*Hint:* the function  $[0,2\pi) \to \mathbb{T}$ ,  $t \mapsto \exp(it)$ , is a Borel bijection.

- **4.14.** Show that a compact selfadjoint operator T is cyclic (a) if and (b) only if all the eigenvalues of T have multiplicity 1.
- **4.15.** Let  $\varphi: [a,b] \to \mathbb{R}$  be a strictly monotone, continuous function. Prove that the multiplication operator  $M_{\varphi}: L^2[a,b] \to L^2[a,b]$  is cyclic.
- **4.16.** Let T denote the operator on  $L^2[0,1]$  defined by  $(Tf)(t) = \sqrt{t}f(t)$ . Find explicitly a positive Radon measure  $\mu$  on [0,1] and a unitary isomorphism  $U: L^2[0,1] \to L^2([0,1],\mu)$  which establishes a unitary equivalence between T and the multiplication operator  $M_t$  given by  $(M_t f)(t) = t f(t)$ .
- **4.17.** (a) Show that a bounded operator P on a Hilbert space is an orthogonal projection if and only if  $P = P^* = P^2$ .
- (b) By using the spectral theorem, prove that a bounded normal operator P such that  $\sigma(P) \subset \{0,1\}$  is an orthogonal projection.
- (c) Prove (b) without using the spectral theorem.
- **4.18-B.** Using the result of Exercise 4.12 (b) and the functional model of a normal operator, give another proof (different from that given at the lectures) of the existence of the Borel functional calculus.

*Hint:* you may use the fact that each  $\sigma$ -additive complex measure on a metrizable compact topological space is regular.

- **4.19-B.** Let T be a cyclic selfadjoint operator on a Hilbert space H. Prove that an operator  $S \in \mathcal{B}(H)$  commutes with T if and only if there exists a bounded Borel function  $f : \sigma(T) \to \mathbb{C}$  such that S = f(T).
- **4.20-B.** Let H be an infinite-dimensional separable Hilbert space. Prove that  $\mathcal{K}(H)$  is a unique closed two-sided ideal of  $\mathcal{B}(H)$  different from 0 and  $\mathcal{B}(H)$ .

Hint. Let  $0 \neq I \subset \mathcal{B}(H)$  be a two-sided ideal. Recall the standard proof of the simplicity of the matrix algebra  $M_n(\mathbb{C})$ , and apply the same argument to show that I contains all finite rank operators. If I contains at least one noncompact operator, apply the spectral theorem.