

FUNCTIONAL ANALYSIS 2 (OPERATOR THEORY)

Midterm exam 16.03.2021

1. Compact operators I: basic notions

- The Riesz lemma on an ε -perpendicular.
- The noncompactness of the sphere in an infinite-dimensional normed space.
- Compact operators: definition, basic examples and counterexamples.
- Properties of the set of compact operators.
- Schauder's theorem on the dual of a compact operator.
- The approximation of Hilbert-space-valued compact operators by finite rank operators. Approximation property and Schauder bases.
- The compactness criterion for the diagonal operator on ℓ^p .

2. Duality for operators on Banach spaces

- Annihilators and preannihilators.
- The duals of subspaces and quotients.
- Relations between kernels and images of operators and of their duals.
- A duality between injective operators and operators with dense image.
- A duality between topologically injective and surjective operators.
- A duality between isometries and coisometries.
- The Closed Image Theorem.
- Johnson's lemma on exact sequences of Banach spaces.

3. Fredholm operators I: basic notions

- Fredholm operators. Fredholm index. Basic examples.
- The additivity of the index.
- Kato's lemma on the image of a Fredholm operator.
- The Fredholmness and the index of the dual operator.

4. Compact operators II: the Riesz–Schauder theory

- The ascent and the descent of a linear operator. Properties of linear operators with finite ascent and descent. The algebraic Riesz decomposition.
- Riesz's theorem on operators " $\mathbf{1} + \text{compact}$ ".
- The Fredholm alternative. Abstract Fredholm theorems in Schauder's form.
- Properties of the spectrum of a compact operator.

5. Compact operators III: compact selfadjoint operators

- The adjoint of an operator between Hilbert spaces. A characterization of the adjoint operator in terms of inner products.
- Basic properties of the operation $T \mapsto T^*$. The C^* -property.
- Selfadjoint and normal operators. Properties of the spectrum and of eigenvectors of a self-adjoint operator.
- The main property of the spectral radius of a normal operator.
- A relation between invariant subspaces on an operator and of its adjoint. A corollary on invariant subspaces of a selfadjoint operator.
- The Hilbert–Schmidt theorem.

6. Fredholm operators II: an interplay between Fredholm and compact operators

- Topological direct sums and complemented subspaces of normed spaces.
- Characterizations of complemented subspaces in terms of projections and in terms of quotients.
- Examples and counterexamples of complemented subspaces.
- The Nikolski–Atkinson criterion for Fredholm operators.
- The Calkin algebra. The essential spectrum of a linear operator. The interpretation of the essential spectrum in terms of the Calkin algebra.
- The stability of the index under “small” perturbations.
- The stability of the index under compact perturbations.
- Nikolski’s characterization of Fredholm operators of index zero.
- Toeplitz operators on the Hardy space. The homomorphism $C(\mathbb{T}) \rightarrow \mathcal{Q}(H^2)$.
- The index formula for a Toeplitz operator with continuous symbol.