

# Approximate identities in $C^*$ -algebras

$A$  = normed alg.

Def A net  $(e_\lambda)_{\lambda \in \Lambda}$  in  $A$  is an approximate identity (a.i.)  $\iff \forall a \in A \quad ae_\lambda \rightarrow a, e_\lambda a \rightarrow a$ .

Thm Every  $C^*$ -alg  $A$  has an a.i.  $(e_\lambda)_{\lambda \in \Lambda}$  s.t.

$$(AI1) \quad 0 \leq e_\lambda \leq 1_+ \quad \forall \lambda$$

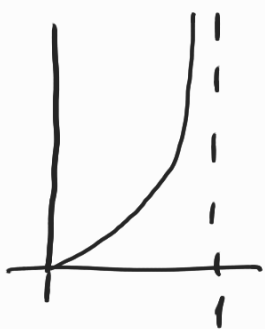
(equivalently,  $e_\lambda \geq 0$  and  $\|e_\lambda\| \leq 1$ )

$$(AI2) \quad \lambda \leq \mu \implies e_\lambda \leq e_\mu.$$

Proof.  $\Lambda = \{e \in A_{\text{pos}} : \|e\| < 1\}$   $(\Lambda, \leq)$  is a poset.

Claim 1.  $\Lambda \cong A_{\text{pos}}$  as a poset. As a corollary,  $\Lambda$  is directed.

Proof Consider  $[0, 1) \xrightleftharpoons[\psi]{\varphi} [0, +\infty)$



$$\varphi(t) = \frac{1}{1-t} - 1$$



$$\psi(s) = \varphi^{-1}(s) = 1 - \frac{1}{1+s}$$

$$\forall e \in \Lambda \quad \varphi(e) \in A_{\text{pos}}$$

$$e_1, e_2 \in \Lambda, \quad e_1 \leq e_2 \Rightarrow 1_+ - e_1 \geq 1_+ - e_2$$

$$\Rightarrow (1_+ - e_2)^{-1} \leq (1_+ - e_1)^{-1} \Rightarrow \varphi(e_1) \leq \varphi(e_2)$$

$$\boxed{0 \leq a \leq b \Rightarrow b^{-1} \leq a^{-1}}$$

$$\forall a \in A_{\text{pos}} \quad \psi(a) \in \Lambda \quad (\text{Spec. Map. Property})$$

$$a_1 \leq a_2 \Rightarrow \psi(a_1) \leq \psi(a_2) \quad (\text{exer.})$$

$$\Lambda \begin{array}{c} \xrightarrow{\varphi} \\ \xleftarrow{\psi} \end{array} A_{\text{pos}}$$

Superpos. property  $\Rightarrow$

$$\varphi\psi = 1_{A_{\text{pos}}}, \quad \psi\varphi = 1_{\Lambda} \quad \square$$

$\Lambda \hookrightarrow \underline{A}$ ,  $e \mapsto e$ , is a net satisfying (AI1), (AI2).

Claim 2.  $\forall a \in A_{\text{pos}}, \|a\| \leq 1$ , we have  $\|a(1_+ - e)\| \rightarrow 0$   
( $e \in \Lambda$ )

Proof. Consider  $t: [0, 1] \hookrightarrow \mathbb{C}$ ,  $t(\lambda) = \lambda$ .

$$t(1 - t^{1/n}) \rightarrow 0 \text{ in } C[0, 1] \quad (\text{exer.})$$

$$\Rightarrow a(1_+ - a^{\frac{1}{n}}) \rightarrow 0 \text{ in } A.$$

$$\forall \varepsilon > 0 \exists n \in \mathbb{N} \text{ s.t. } \|a(1_+ - a^{\frac{1}{n}})\| < \varepsilon.$$

$$\forall e \in \Lambda \text{ s.t. } e \geq a^{\frac{1}{n}} \quad \|a(1_+ - e)\| \leq \|a(1_+ - a^{\frac{1}{n}})\| < \varepsilon$$

$\square$

$$\boxed{a \leq b \Rightarrow x^* a x \leq x^* b x}$$

Claim 3.  $(e)_{e \in \Lambda}$  is an a.i. in  $A$ .

Proof.  $\forall e \in \Lambda \quad (1_+ - e)^2 \leq 1_+ - e$  (IGN Thm)

$\Rightarrow \forall a \in A_{\text{pos}}, \|a\| \leq 1$

$$\|a - ea\|^2 = \|(1_+ - e)a\|^2 = \|a(1_+ - e)^2 a\| \leq \\ \leq \|a(1_+ - e)a\| \rightarrow 0$$

$\Rightarrow a - ea \rightarrow 0$  ( $e \in \Lambda$ ) for all  $a \in A$  (by linearity);

$$a - ae = (a^* - ea^*)^* \rightarrow 0. \quad \square \square$$

Exer.  $A = \text{separable } C^*-\text{alg} \Rightarrow A$  has a sequential a.i.

Convention. "a.i." will mean "a.i. satisfying (AI1) and (AI2)"

## Quotient $C^*$ -algebras

Observations:

(1)  $A = \text{Ban. alg}$ ,  $I \subset A$  closed 2-sided ideal

$\Rightarrow A/I$  is a Banach alg;

$$\|a + I\| = \inf \{ \|a + b\| : b \in I \}$$

(2)  $A = \text{Ban. } *-\text{alg}$ ,  $I \subset A$  closed 2-sided  $*$ -ideal

$\Rightarrow A/I$  is a Banach  $*$ -alg;  $(a + I)^* = a^* + I$ .

Lemma.  $A = C^*$ -alg,  $I \subset A$  closed left ideal.

$\Rightarrow I$  has a right a.i.  $(e_\lambda)$  satisfying (AI1), (AI2)  
(i.e., a net  $(e_\lambda)$  s.t.  $ae_\lambda \rightarrow a$  ( $\lambda \in \Lambda$ ))

Proof. Let  $B = I \cap I^* \Rightarrow B$  is a  $C^*$ -subalg of  $A$ .

Let  $(e_\lambda)$  be an a.i. in  $B$ .

$$\begin{aligned} \forall a \in I \quad \|a - ae_\lambda\|^2 &= \|a(1_+ - e_\lambda)\|^2 = \\ &= \|(1_+ - e_\lambda)a^*a(1_+ - e_\lambda)\| \leq \underbrace{\|a^*a\|}_{\in B} \|1_+ - e_\lambda\| \rightarrow 0. \quad \square \end{aligned}$$

Thm.  $A = C^*$ -alg,  $I \subset A$  closed 2-sided ideal.

Then

(1)  $I$  is a  $*$ -ideal

(2) Suppose  $(e_\lambda)$  is an a.i. in  $I$ . Then  $\forall a \in A$

$$\|a + I\| = \liminf_{\lambda} \|a - ae_\lambda\| = \inf_{\lambda} \|a - ae_\lambda\|.$$

(3)  $A/I$  is a  $C^*$ -algebra.

Proof. (1) Let  $(e_\lambda)$  be a right a.i. in  $I$  satisfying (AI1), (AI2)

$$\forall a \in I \quad ae_\lambda \rightarrow a \Rightarrow \underbrace{e_\lambda a^*}_{\in I} \rightarrow a^* \Rightarrow a^* \in I.$$

(2)  $\forall a \in A, \forall b \in I$

$$\begin{aligned} \|a - ae_\lambda\| &= \|a(1_+ - e_\lambda)\| \leq \|(a+b)(1_+ - e_\lambda)\| + \\ &\quad + \|b(1_+ - e_\lambda)\| \leq \|a+b\| + \underbrace{\|b - be_\lambda\|}_{\rightarrow 0} \end{aligned}$$

$$\Rightarrow \overline{\lim} \|a - ae_\lambda\| \leq \|a + I\|$$

$$\|a + I\| \leq \inf_\lambda \|a - ae_\lambda\| \leq \underline{\lim} \|a - ae_\lambda\|. \Rightarrow (2).$$

(3)  $\forall a \in A.$

$$\|a + I\|^2 = \inf_\lambda \|a(1_+ - e_\lambda)\|^2$$

$$= \inf_\lambda \|(1_+ - e_\lambda)a^*a(1_+ - e_\lambda)\| \leq \inf_\lambda \|a^*a(1_+ - e_\lambda)\|$$

$$= \|a^*a + I\| \quad \square$$

Example 1 (Calkin algebra)

$H =$  Hilb. space;  $\mathcal{Q}(H) = \mathcal{B}(H)/\mathcal{K}(H)$

is a  $C^*$ -algebra (the Calkin algebra)

Example 2 (Toeplitz algebra)

$H =$  inf-dim. separable Hilb. space;

$\{e_n : n \in \mathbb{Z}_{\geq 0}\}$  an ON basis in  $H$ ;

$S \in \mathcal{B}(H)$   $Se_n = e_{n+1} \forall n$  (right shift oper.)

Def. The Toeplitz algebra is the  $C^*$ -subalg  $\mathcal{T}$  of  $\mathcal{B}(H)$  generated by  $S$ .

Explicitly:  $\mathcal{T} = \overline{\text{span}} \{ S^k (S^*)^\ell : k, \ell \in \mathbb{Z}_{\geq 0} \}$ .  
(because  $S^*S = \mathbb{1}$ )

Exer. (1)  $\mathcal{K}(H) \subset \mathcal{T}$ .

(2)  $\mathcal{T}/\mathcal{K}(H) \cong C(\mathbb{T})$      $\mathbb{T} = \{z \in \mathbb{C} : |z|=1\}$

(3) The Toeplitz extension

$$0 \rightarrow \mathcal{K}(H) \hookrightarrow \mathcal{T} \xrightarrow{p} C(\mathbb{T}) \rightarrow 0$$

does not split (i.e.,  $\nexists$   $*$ -alg. hom.  $j: C(\mathbb{T}) \rightarrow \mathcal{T}$  st.  $pj = \mathbb{1}_{C(\mathbb{T})}$ ).

## Positive functionals

$A = *$ -alg.  $f: A \rightarrow \mathbb{C}$  linear.

Def.  $f$  is positive ( $f \geq 0$ )  $\iff f(a^*a) \geq 0 \forall a \in A$ .

If  $A$  is Banach  $*$ -alg, then  $f \in A^*$  is a state

$\iff f \geq 0$  and  $\|f\| = 1$ .    (состояние)

Observe: If  $A$  is a  $C^*$ -alg, then

$$f \geq 0 \iff f(A_{\text{pos}}) \subset [0, +\infty)$$

Example 1  $\chi: A \rightarrow \mathbb{C}$  is a  $*$ -char  $\Rightarrow \chi \geq 0$ .

If  $A$  is a  $C^*$ -alg,  $\chi \neq 0 \Rightarrow \chi$  is a state.

Example 2.  $A = C_0(X)$ ,  $X = \text{loc. comp. Hausd. space}$ .

$\forall$  fin. positive Radon measure  $\mu$  on  $X$ . let

$$f_\mu: A \rightarrow \mathbb{C} \quad f_\mu(a) = \int_X a d\mu. \quad f_\mu \geq 0;$$

$$\|f_\mu\| = \mu(X). \quad (\text{exer.}) \quad f_\mu \text{ is a state} \Leftrightarrow \mu(X) = 1.$$

Riesz Rep. Thm:

$$\left\{ \begin{array}{l} \text{Fin. positive} \\ \text{Radon measures on } X \end{array} \right\} \xrightarrow{1-1} \left\{ \begin{array}{l} \text{Pos. linear func} \\ \text{on } C_0(X) \end{array} \right\}$$

$\mu \mapsto f_\mu.$

Example 3  $A = M_n(\mathbb{C}) = \mathcal{B}(H)$   $\dim H = n$ .

(1)  $f: A \rightarrow \mathbb{C}$ ,  $f(T) = \frac{1}{n} \text{Tr}(T)$  (normalized trace)

is a state.

(2)  $\forall$  lin.  $f: A \rightarrow \mathbb{C} \exists$  a unique  $S \in A$  s.t.

$$f(T) = \text{Tr}(TS) \quad (T \in A); \quad f = f_S.$$

(3)  $f_S \geq 0 \Leftrightarrow S \geq 0$ ;

(4)  $f_S$  is a state  $\Leftrightarrow S \geq 0$  and  $\text{Tr}(S) = 1$ .

Example 4  $A = \mathcal{B}(H)$   $H = \text{Hilb. space}$

$$\xi \in H \quad f_\xi: A \rightarrow \mathbb{C} \quad f_\xi(T) = \langle T\xi | \xi \rangle.$$

$$f_\xi(T^*T) = \langle T\xi | T\xi \rangle \geq 0 \Rightarrow f_\xi \geq 0;$$

$$\|f_\xi\| = \|\xi\|^2. \quad f_\xi \text{ is a state} \iff \|\xi\| = 1.$$

(vector state)

Example 5.  $A = \ell^1(G); \quad G = \text{group}$

1 exer.

$$(1) f: A \rightarrow \mathbb{C}, \quad f(a) = a(e),$$

is a state.

(2)  $f$  extends to a state  $\tilde{f}$  on  $C_r^*(G)$

(3)  $\tilde{f}$  is a vector state.

Thm.  $A = C^*\text{-alg}, \quad f: A \rightarrow \mathbb{C}, \quad f \geq 0.$  Then:

$$(1) f(A_{sa}) \subset \mathbb{R}.$$

$$(2) f(a^*) = \overline{f(a)} \quad (a \in A)$$

(3)  $f$  is continuous.

Proof. (1)  $\forall a \in A_{sa} \quad a = a_+ - a_-; \quad a_\pm \in A_{pos}.$

(2) follows from (1) (see the proof for characters)

(3) Claim:  $\exists C \geq 0$  s.t.  $f(a) \leq C\|a\| \quad \forall a \in A_{pos}.$

If not, then  $\forall n \in \mathbb{N} \exists a_n \in A_{pos} \text{ s.t. } \|a_n\| \leq 1,$   
 $f(a_n) \geq 4^n.$



$$\text{Let } a = \sum_{n=1}^{\infty} \frac{a_n}{2^n} \Rightarrow f(a) \geq f\left(\frac{a_n}{2^n}\right) \geq 2^n \quad \forall n,$$

$$a \text{ contr.} \Rightarrow \exists C \geq 0 \text{ s.t. } f(a) \leq C\|a\| \quad \forall a \geq 0.$$

$$\forall a \in A \quad a = (b_+ - b_-) + i(c_+ - c_-)$$

( $b = b_+ - b_-$ ,  $c = c_+ - c_-$  are selfadj).

$$\|b_{\pm}\| \leq \|b\| \leq \|a\| \quad (\text{because } b = \frac{a + a^*}{2})$$

Similarly,  $\|c_{\pm}\| \leq \|a\|$

$$\Rightarrow |f(a)| \leq 4C\|a\|. \quad \square.$$