

## Positive elements

$A = C^*$ -alg,  $a \in A$ .

Def  $a$  is positive ( $a \geq 0$ )  $\Leftrightarrow a = a^*$  and  $\sigma'_A(a) \subset [0, +\infty)$   
(if  $A$  is unital, then  $\sigma'_A(a) \subset [0, \infty) \Leftrightarrow \sigma_A(a) \subset [0, +\infty)$ )

Example  $A = C_0(X)$  ( $X = \text{loc. comp. Hausd. space}$ )  
 $a \in A$   $a \geq 0 \Leftrightarrow a(x) \geq 0 \forall x \in X$ .

Notation  $A_{\text{pos}} = \{a \in A : a \geq 0\}$ .

Prop. 1 (1)  $\varphi: A \rightarrow B$   $*$ -hom ( $A, B = C^*$ -alg)  
 $\Rightarrow \varphi(A_{\text{pos}}) \subset B_{\text{pos}}$ .

(2)  $A$  is unital,  $a \in A$  normal,  $f \in C(\sigma(a))$ ,  $f \geq 0$   
 $\Rightarrow f(a) \geq 0$ .

Proof (1)  $\forall a \in A_{\text{pos}} \subset A_{\text{sa}}$   $\varphi(a) \in A_{\text{sa}}$ .  
 $\sigma'_B(\varphi(a)) \subset \sigma'_A(a) \subset [0, +\infty) \Rightarrow \varphi(a) \geq 0$ .

(2) Apply (1) to  $\gamma_a: C(\sigma(a)) \rightarrow A$ .  $\square$ .

Prop. 2 (1)  $a \in A_{\text{pos}}, \lambda \in \mathbb{R}, \lambda \geq 0 \Rightarrow \lambda a \in A_{\text{pos}}$ .

(2)  $A_{\text{pos}} \cap (-A_{\text{pos}}) = \{0\}$ .

(3)  $A_{\text{pos}}$  is closed in  $A$ .

(4)  $a, b \in A_{\text{pos}} \Rightarrow a + b \in A_{\text{pos}}$ .

Thus  $A_{\text{pos}}$  is a closed convex cone in  $A$ .

Lemma.  $A = \text{unital } C^*$ -alg,  $a \in A, \|a\| \leq 1$ .

Then:  $a \geq 0 \Leftrightarrow a = a^*$  and  $\|1 - a\| \leq 1$ .

Proof. Clear if  $A = C(X)$  ( $X = \text{compact space}$ )

Gen case: consider  $C_A^*(a, 1)$  and apply the  
1st G-N Thm.  $\square$

Proof of Prop 2. (1) clear.

(2)  $a \in A_{\text{pos}} \cap (-A_{\text{pos}}) \Rightarrow \sigma'_A(a) = \{0\} \Rightarrow a = 0$ .

$$(3), (4) \quad B = \{a \in A : \|a\| \leq 1\}.$$

$$\text{Lemma} \Rightarrow B \cap A_{\text{pos}} = B \cap A_{\text{sa}} \cap \{a : \|1_+ - a\| \leq 1\}$$

$\Rightarrow B \cap A_{\text{pos}}$  is closed and convex  $\Rightarrow$

$\Rightarrow (3), (4). \quad \square$

Notation  $\forall a \in A_{\text{pos}} \quad \sqrt{a} = f(a)$ , where  $f(t) = \sqrt{t}$  ( $t \in [0, +\infty)$ ).

Prop. 3.  $\sqrt{a}$  is a unique positive elem. of  $A$  s.t.  $(\sqrt{a})^2 = a$ .

Proof.  $\sqrt{a} \geq 0$  by Prop. 1

Superpos. prop.  $\Rightarrow (\sqrt{a})^2 = a$ .

Suppose  $b \geq 0, b^2 = a$ . Superpos prop  $\Rightarrow$

$$\Rightarrow b = \sqrt{b^2} = \sqrt{a}. \quad \square$$

$$\boxed{g(f(a)) = (g \circ f)(a)}$$

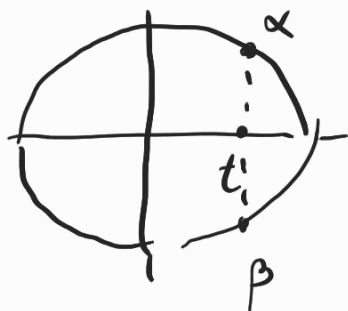
Superposition property

Exer. 1.  $H = \text{Hilb. space.} \quad T \in \mathcal{B}(H)$

$$T \geq 0 \iff \langle Tx | x \rangle \geq 0 \quad \forall x \in H.$$

Exer. 2.  $A = \text{unital } C^* \text{-alg} \Rightarrow$  every  $a \in A$  is a lin. comb. of 4 unitaries.

Hint:



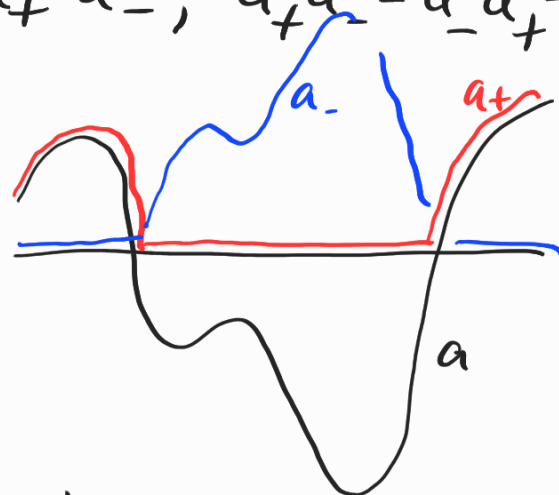
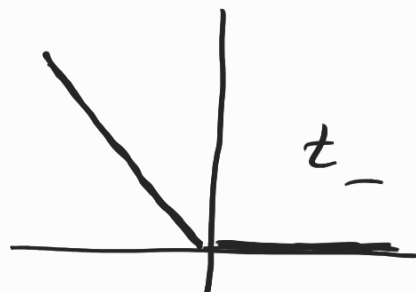
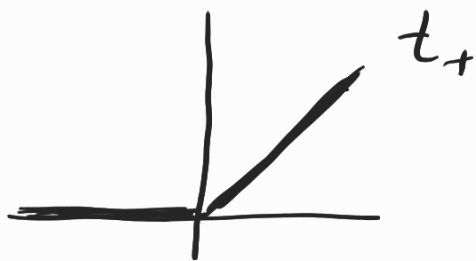
$$t = \frac{\alpha + \beta}{2}$$

Prop. 4.  $\forall a \in A_{sa} \exists$  a unique pair  $(a_+, a_-)$  of positive elements s.t.  $a = a_+ - a_-$ ,  $a_+ a_- = a_- a_+ = 0$

Proof

Consider

$$t_+, t_-: \mathbb{R} \rightarrow [0, +\infty)$$



$a_{\pm} = t_{\pm}(a)$  are what we need.

Suppose  $a_1, a_2 \in A_{pos}$ ,  $a = a_1 - a_2$ ,  $a_1 a_2 = a_2 a_1 = 0$ .

Let  $|a| = a_+ + a_- = |t|(a)$

$$\left. \begin{array}{l} a^2 = (a_1 - a_2)^2 = a_1^2 + a_2^2 = (a_1 + a_2)^2 \\ \parallel \\ (a_+ + a_-)^2 = |a|^2 \end{array} \right\} \sqrt{\quad} \Rightarrow a_1 + a_2 = |a|$$

$$\Rightarrow a_1 = \frac{|a| + a}{2} = a_+; \quad a_2 = \frac{|a| - a}{2} = a_- \quad \square$$

Thm. (I. Kaplansky) (1960ies).

$$\forall a \in A \quad a^* a \geq 0.$$

Lemma 1.  $\forall x \in A \quad x^* x + x x^* \geq 0$

Proof.  $x = y + iz \quad (y, z \in A_{sa}) \Rightarrow$   
 $x^* = y - iz.$

$$\Rightarrow x^*x = y^2 + z^2 + i(yz - zy)$$

$$xx^* = y^2 + z^2 + i(zy - yz)$$

$$\Rightarrow x^*x + xx^* = 2(y^2 + z^2) \geq 0. \quad (\text{see Props 1, 2.}) \quad \square$$

Lemma 2.  $A = \text{unital alg}$ ,  $a, b \in A \Rightarrow$

$$\Rightarrow \sigma(ab) \cup \{0\} = \sigma(ba) \cup \{0\}.$$

Proof. It suff. to show that

$$1 - ab \in A^\times \Leftrightarrow 1 - ba \in A^\times$$

(because  $\forall \lambda \neq 0 \quad ab - \lambda 1 = -\lambda(1 - \lambda^{-1}ab)$ .)

If  $1 - ab \in A^\times$ ,  $c = (1 - ab)^{-1} \Rightarrow 1 + bca$  is the inverse of  $1 - ba$ .  $\square$

Informal explanation:

$$(1 - ab)^{-1} = 1 + ab + (ab)^2 + \dots =$$

$$= 1 + ab + abab + ababab + \dots$$

$$\Rightarrow (1 - ba)^{-1} = 1 + ba + baba + bababa + \dots$$

$$= 1 + b(1 + ab + abab + \dots)a$$

Proof of Thm

Let  $b = a^*a$ ;  $b = b_+ - b_-$  ( $b_\pm \geq 0$ ,  $b_+b_- = b_-b_+ = 0$ )

We want:  $b_- = 0$ . Let  $x = ab_-$ .

$$x^*x = b_- a^* a b_- = b_- (b_+ - b_-) b_- = -b_-^3$$

$$\Rightarrow -x^*x \geq 0.$$

$$xx^* = \underbrace{(x^*x + xx^*)}_{\geq 0} + \underbrace{(-x^*x)}_{\geq 0} \geq 0 \stackrel{\text{L2}}{\implies} x^*x \geq 0$$

$$\Rightarrow x^*x=0 \Rightarrow b_-^3=0 \xrightarrow{\sqrt[3]{\phantom{x}}} b_-=0. \quad \square.$$

Notation.  $\forall a \in A \quad |a| = \sqrt{a^*a} \in A_{\text{pos.}}$

Observe: if  $a = a^*$ , then  $|a| = \sqrt{a^2} = |t|(a) = a_+ + a_-$ .

Cor.  $a \in A$ . TFAE:

- (1)  $a \geq 0$
- (2)  $\exists b \geq 0$  s.t.  $a = b^2$ ;
- (3)  $\exists b \in A_{\text{sa}}$  s.t.  $a = b^2$ ;
- (4)  $\exists b \in A$  s.t.  $a = b^*b$ .

Def  $a, b \in A_{\text{sa}}$ .  $a \leq b \iff b - a \geq 0$ .

Prop. (1)  $(A_{\text{sa}}, \leq)$  is a poset.

- (2)  $a \leq b, \lambda \in \mathbb{R}, \lambda \geq 0 \Rightarrow \lambda a \leq \lambda b$
- (3)  $a_1 \leq b_1, a_2 \leq b_2 \Rightarrow a_1 + a_2 \leq b_1 + b_2$ .
- (4)  $a \leq b, x \in A \Rightarrow x^*ax \leq x^*bx$ .
- (5)  $A$  is unital,  $a \in A, a \geq 1 \Rightarrow a^{-1} \leq 1$ .
- (6)  $A$  is unital,  $0 \leq a \leq b, a, b \in A^\times \Rightarrow b^{-1} \leq a^{-1}$ .
- (7)  $0 \leq a \leq b \Rightarrow \|a\| \leq \|b\|$ .
- (8)  $a \in A_{\text{pos.}} \quad \|a\| \leq 1 \iff a \leq 1_+$   
 $\iff$  (if  $A$  is unital)  $a \leq 1$ .

Proof (1)-(3): see Prop. 2.

(4)  $b - a = c^*c, c \in A$

$$x^*bx - x^*ax = x^*c^*cx = (cx)^*(cx) \geq 0$$

(5) Apply (4) to  $x = a^{-1/2}$ .

(6)  $0 \leq a \leq b \xrightarrow{(4)} 1 \leq a^{-1/2}ba^{-1/2} \xrightarrow{(5)} a^{1/2}b^{-1}a^{1/2} \leq 1$

$$\xrightarrow{(4)} b^{-1} \leq a^{-1}$$

(7) Apply the 1st G-N Thm to  $C_{A_+}^*(b, 1_+)$   $\Rightarrow$

$$\Rightarrow b \leq \|b\|1_+ \Rightarrow a \leq \|b\|1_+ \Rightarrow$$

$$(1GN \text{ to } C_{A_+}^*(a, 1)) \Rightarrow \|a\| \leq \|b\|$$

(8) Apply the 1GN to  $C_{A_+}^*(a, 1_+)$  or  $C_A^*(a, 1)$ .  $\square$

## Approximate identities

$(\Lambda, \leq)$  poset.

Def.  $\Lambda$  is directed  $\Leftrightarrow \forall \lambda, \mu \in \Lambda \exists \nu \in \Lambda$  s.t.  
 $\lambda \leq \nu, \mu \leq \nu$ .

Examples (1)  $(\mathbb{N}, \leq)$   $\leq$  is the standard order.

(2)  $\Lambda = (\{\text{neighborhoods of } x \in X\}; \supset)$

( $X = \text{top. space}$ )

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Def. A net in  $X$  is a map  $x: \Lambda \rightarrow X$   
(where  $\Lambda$  is a directed poset)

Notation.  $x = (x_\lambda)_{\lambda \in \Lambda}$       $x(\lambda) = x_\lambda$ .

Def. A net  $(x_\lambda)_{\lambda \in \Lambda}$  converges to  $x \in X$   
 $(x_\lambda \rightarrow x \text{ or } \lim x_\lambda = x) \iff \forall \text{ nbhd } U \ni x$   
 $\exists \lambda_0 \in \Lambda \text{ s.t. } \forall \lambda \geq \lambda_0, x_\lambda \in U.$

Example.  $\Lambda = \text{the poset from Ex (2)}$

$\forall U \in \Lambda$  choose any  $x_U \in U$ . Then  $x_U \rightarrow x$ .

$A = \text{normed algebra.}$

Def. An approximate identity (a.i) in  $A$  is  
a net  $(e_\lambda)_{\lambda \in \Lambda}$  in  $A$  s.t.  $\forall a \in A$     $a e_\lambda \rightarrow a,$   
 $e_\lambda a \rightarrow a.$

Def. (1) An a.i.  $(e_\lambda)_{\lambda \in \Lambda}$  is sequential  $\iff$   
 $\iff \Lambda = \mathbb{N}$  with the usual order.

(2) An a.i.  $(e_\lambda)$  is bounded  $\iff \exists C \geq 0$   
s.t.  $\|e_\lambda\| \leq C \quad \forall \lambda.$



Example 1.  $A = C_0 = C_0(\mathbb{N}) = \{a = (a_n) \in \mathbb{C}^{\mathbb{N}} : a_n \rightarrow 0\}$

$\forall n \in \mathbb{N} \quad e_n = (\underbrace{1, \dots, 1}_n, 0, 0, \dots) \in A$

$\Rightarrow (e_n)$  is a b.a.i. in  $A$ . Indeed:  $\forall a \in A$

$$\|a - a e_n\| = \sup_{k > n} |a_k| \rightarrow 0 \quad (n \rightarrow \infty)$$

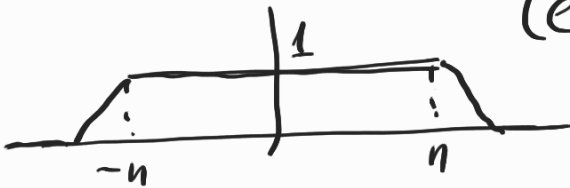
Example 2.  $A = \ell^1$  with pointwise mult.

$\Rightarrow (e_n)$  from Ex 1 is an unbdd a.i. in  $\ell^1$ .

Exer.  $\ell^1$  does not have a b.a.i.

Example 3.  $A = C_0(\mathbb{R})$

$(e_n)_{n \in \mathbb{N}}$  is a b.a.i. (exer.)



Example 4.  $A = C_0(X)$  ( $X = \text{loc. comp. Hausd. space}$ )

$\Lambda = \{\text{comp. sets } K \subset X\}$ .  $(\Lambda, \subset)$  is a dir. poset.

$\forall K \in \Lambda$  choose  $e_K \in C_0(X)$  s.t.

$e_K|_K = 1, \|e_K\| \leq 1 \quad \Rightarrow \quad (e_K)_{K \in \Lambda}$  is a b.a.i. (exer.)

Exer.  $C_0(X)$  has a sequential a.i.  $\Leftrightarrow$

$\Leftrightarrow X$  is  $\sigma$ -compact.

Example 5  $A = \mathcal{K}(H)$   $H = \text{Hilb. space.}$

$\Lambda = \{ \text{fin-dim. subspaces } L \subset H \}$ .

$(\Lambda, \subset)$  is a dir. poset.

$\forall L \in \Lambda$  let  $P_L =$  the orth. proj. onto  $L$ .

$\implies (P_L)_{L \in \Lambda}$  is a b.a.i. in  $\mathcal{K}(H)$   
(exer.)

Exer.  $\mathcal{K}(H)$  has a seq. a.i.  $\iff H$  is separable.

Example 6. (1)  $(A, \text{zero mult.})$  does not have an a.i.

(2)  $A = \{ f \in C^1[0,1] : f(0) = 0 \}$  does not have an a.i. (exer.)