

This is NOT an exercise sheet, but is just a selection of exercises meant to be discussed at the blackboard during a “traditional” seminar.

## Compact operators

**Exercise 1.** Let  $X$  be a normed space, let  $f \in X^* \setminus \{0\}$ , and let  $X_0 = \text{Ker } f$ . Show that there exists a 0-perpendicular to  $X_0$  in  $X$  iff  $f$  is norm-attaining (which means that there exists  $x \in X$ ,  $\|x\| = 1$ , such that  $|f(x)| = \|f\|$ ). Give an example showing that this is not always the case.

**Exercise 2.** (a) Prove that a subset  $S \subset c_0$  is relatively compact iff there exists  $y \in c_0$  such that  $|x_n| \leq |y_n|$  for all  $x \in S$  and all  $n \in \mathbb{N}$ . (b) Does a similar result hold for  $\ell^p$ ?

**Exercise 3.** Are the left and right shift operators on  $\ell^p$  and on  $c_0$  compact?

**Exercise 4.** Can the image of a compact operator between Banach spaces contain an infinite-dimensional closed vector subspace?

**Exercise 5.** Prove that the inclusion  $C^1[a, b] \rightarrow C[a, b]$  is a compact operator.

**Exercise 6.** Let  $I \subset \mathbb{R}$  be an interval (not necessarily open or closed, not necessarily bounded), let  $f: I \rightarrow \mathbb{C}$  be an essentially bounded measurable function, and let  $M_f$  denote the respective multiplication operator on  $L^p(I)$  ( $1 \leq p \leq \infty$ ). Find a condition on  $f$  that is necessary and sufficient for  $M_f$  to be compact.

**Exercise 7.** Given an integrable function  $f$  on  $[0, 1]$ , define a function  $Tf$  on  $[0, 1]$  by

$$(Tf)(x) = \int_0^x f(t) dt.$$

Is  $T$  a compact operator (a) from  $C[0, 1]$  to  $C[0, 1]$ ? (b) from  $L^p[0, 1]$  to  $C[0, 1]$  (where  $1 < p \leq \infty$ )? (c) from  $L^p[0, 1]$  to  $L^p[0, 1]$  (where  $1 < p \leq \infty$ )? (d) from  $L^1[0, 1]$  to  $C[0, 1]$ ? (e) from  $L^1[0, 1]$  to  $L^1[0, 1]$ ?

**Exercise 8.** Let  $I = [a, b]$ , and let  $K \in C(I \times I)$ . Prove that the integral operator  $T: C(I) \rightarrow C(I)$ ,

$$(Tf)(x) = \int_a^b K(x, y)f(y) dy,$$

is compact.

**Exercise 9.** Let  $(X, \mu)$  be a measure space, and let  $K \in L^2(X \times X, \mu \times \mu)$ . Prove that the Hilbert–Schmidt integral operator  $T_K: L^2(X, \mu) \rightarrow L^2(X, \mu)$ ,

$$(T_K f)(x) = \int_X K(x, y)f(y) d\mu(y),$$

is compact.

*Hint:* show that functions of the form  $K(x, y) = f(x)g(y)$ , where  $f, g \in L^2(X, \mu)$ , span a dense subspace of  $L^2(X \times X, \mu \times \mu)$ , and use the fact that  $\|T_K\| \leq \|K\|_2$  (see Exercise 2.8).

**Exercise 10.** (a) Let  $X$  be a compact metrizable topological space, let  $K \in C(X \times X)$ , and let  $\mu$  be a finite Borel measure on  $X$ . Show that the image of the Hilbert–Schmidt integral operator  $T_K: L^2(X, \mu) \rightarrow L^2(X, \mu)$  is contained in  $C(X)$ , and that  $T_K$  is a compact operator from  $L^2(X, \mu)$  to  $C(X)$ .

(b)-B Extend (a) to an arbitrary (not necessarily metrizable) compact topological space  $X$ .

**Exercise 11.** Calculate the norm of the operator  $T: L^2[0, 1] \rightarrow L^2[0, 1]$  defined in Exercise 7.

*Hint:*  $T^*T$  is compact and selfadjoint.